Title: The effect of phonotactics on alternation learning

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Running head: Phonotactics and alternation learning

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Abstract
This study investigates whether alternation learning is facilitated by a matching phonotactic generalization. In a series of artificial grammar learning experiments, English learners were trained on artificial languages evincing categorical vowel harmony alternations across morpheme boundaries. These languages differed in the degree of harmony within stems (disharmonic, semi-harmonic and harmonic), and thus the degree of phonotactic support for the alternation. Results indicate that alternation learning was best when supported by matching stem phonotactics (harmonic language; Experiment 1). Learners, however, were reluctant to extend a learnt phonotactic constraint to novel unseen alternations (Experiments 2 and 3). Taken together, the results are consistent with the hypothesis that alternation learning is facilitated by a matching static phonotactic generalization, but that learners are conservative in positing alternations in the absence of overt evidence for them.*

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1. INTRODUCTION. It has been observed that similar phonological generalizations often seem to hold both within a morpheme and across a morpheme boundary. In the former, these static generalizations govern the distributional co-occurrence of sound sequences in a language (i.e. phonotactics). In the latter, these involve dynamic generalizations where the same morpheme changes in phonological form depending on morpho-phonological context (i.e. phonological alternations). That these generalizations are often isomorphic was observed in Chomsky and Halle (1968: 382): ‘in many respects, [lexical redundancy rules] seem to be exactly like ordinary phonological rules, in form and function’. How these generalizations relate to each other in the architecture of the phonological grammar, in acquisition, as well as over historical time have been subject to long-standing debate.

Within constraint-based phonological models like Optimality Theory (Prince & Smolensky, 1993/2004), these generalizations are generally modelled using a single mechanism (i.e. the same markedness constraint). Because of this close connection, a popular view in phonological learning within these models hypothesizes that the prior learning of phonotactics aides the later learning of alternations (Hayes, 2004; Jarosz, 2006; Tesar & Prince, 2007). Much experimental work in the last decade or so has investigated the factors that facilitate or inhibit the learning of phonological patterns. These studies, though, largely focus on examining the learning of alternations or phonotactics alone. Few studies have directly examined how these different generalizations interact in learning (Pater & Tessier, 2005).

Furthermore, there are many patterns in which the isomorphism between static phonotactics and alternations does not hold. One such pattern, derived-environment effects (Kiparsky, 1993), involve alternations which go against morpheme-internal phonotactics. Such patterns have long posed a challenge to account for in phonological theories (for a recent review, see Inkelas, 2015). In a model in which phonotactics and alternations are closely linked, these types of patterns are predicted to be harder to learn, relative to patterns in which both generalizations match. This is not predicted by models which do not posit such a link.

In this article, I examine precisely this link between phonotactic and alternation learning in a series of artificial grammar learning experiments. I compare, specifically, the learning of phonological patterns which evince a derived-environment effect compared to one which does not. I further examine whether learners are biased to extend static phonotactic patterns to novel alternations. The results show that the learning of static phonotactic patterns and alternations are
linked, although learners do not readily generalize learnt phonotactics to novel alternations in the absence of evidence for the alternation. These findings have implications for a model of phonological learning, as well as for the learnability of derived-environment effects, an under-examined aspect of these patterns.

In the rest of this section, I first discuss the relationship between phonotactics and alternations, how these are modelled, and the existing literature on phonotactics and alternations in acquisition, before introducing the current set of experiments.

1.1. RELATIONSHIP BETWEEN PHONOTACTICS AND ALTERNATIONS. An example of the isomorphism between static phonotactic generalizations and active alternations can be seen in Navajo sibilant harmony. In Navajo, all sibilants in a root must agree in their [anterior] feature (Sapir & Hoijer, 1967; Kari, 1976; McDonough, 1991, 2003; Fountain, 1998). The tautomorphemic harmony restrictions mean that only harmonic roots like those in 1 exist, while hypothetical disharmonic Navajo roots in 2 are not attested (All data cited here are reproduced from Martin (2011: 753), originally from Fountain (1998)).

(1) Harmonic roots
   a. \([\text{tʃ}\text{'oʒ}]\) ‘worm’ \([-\text{anterior}]\ldots[-\text{anterior}]\)
   b. \([\text{ts'}\text{ózi}]\) ‘slender’ \([+\text{anterior}]\ldots[+\text{anterior}]\)

(2) Hypothetical (unattested) disharmonic roots
   a. \(*[\text{sof}]\) (*[+anterior]…[-anterior])
   b. \(*[\text{tʃiz}]\) (*[-anterior]…[+anterior])

This co-occurrence restriction also holds across morpheme boundaries, as in 3, with the prefix sibilant typically harmonizing with the [anterior] feature of the root sibilant. In 3a, the prefix /-s-/ harmonizes to the root sibilant [ʒ], surfacing as [ʃ]. In 3b, on the other hand, both the prefix and root sibilants agree in anteriority and thus the prefix sibilant does not alternate.
(3) Navajo harmony prefix+root
   a. /ji-s-lééʒ/ → [ji-f-lééʒ] ‘it was painted’
   b. /ji-s-tiz/ → [ji-s-tiz] ‘it was spun’

In a rule-based (SPE: Chomsky & Halle 1968) account, the generalization that stems show sibilant harmony would have been accounted for via context-free Morpheme Structure Rules or Constraints (MSRs, or MSCs). The generalizations across morpheme boundaries, on the other hand, were captured by regular phonological rules. MSCs applied directly in the lexicon prior to the application of any phonological rules. Crucially, MSCs and regular phonological rules were totally distinct from each other with no linking mechanism, even though they often achieved the same end. This ‘Duplication Problem’ (Kenstowicz & Kisseberth, 1977) was one motivation that led to the shift to Optimality Theory (Prince and Smolensky, 1993/2004; henceforth OT), which unified the explanatory burden for both static phonotactic patterns and active phonological alternations into a single mechanism (i.e. markedness constraints).

Not everyone agrees that the duplication problem is an issue (e.g. Anderson, 1974; Paster, 2013). Paster (2013), for example, argues that this is only an issue if we assume two things: (i) that static generalizations are psychologically real and must therefore be encoded in a grammar, and (ii) that ‘the functional unity of two or more phenomena observed in a language should correspond to unity of formal explanation for the phenomena’ (Paster 2013:82). Paster argues that if language change in OT involves constraint re-ranking, and a single constraint allows you to capture both static and active generalizations, then both static patterns and active processes should undergo changes in tandem.

Indeed, static phonotactic patterns and phonological alternations can, and do, pull apart historically, arguing potentially for their independence (see Paster 2013 for a summary). On the one hand, it is common to find cases of morpheme-internal phonotactic patterns that do not engender any phonological alternations across a morpheme boundary (e.g. Chomsky & Halle, 1968). Non-local laryngeal co-occurrence restrictions often fall into this class of patterns (e.g. MacEachern, 1997). For example, in Bolivian Aymara (data from MacEachern, 1997 and Gallagher, Gouskova & Camacho Rios, 2019), non-identical ejectives cannot co-occur within a morpheme in 4, but they can across morpheme boundaries within the same word in 5.
(4) Phonoactic restrictions within morphemes
   a. [k’ask’a] ‘acid to taste’
   b. [t’anf’a] ‘bread’
   c. *[t’ank’a] Hypothetical (unattested) root

(5) No restrictions across morpheme boundaries within the same word
   a. [ʧ’um-t’a-na] ‘about to drain’
   b. [t’isn-ʧ’uki-na ] ‘to thread carefully’

On the other hand, the reverse patterns are also found, notably patterns which are classically described as non-derived environment blocking (NDEB) or derived-environment effects (DEEs; I will refer to these as DEEs in this article). A well-known example is palatalization in Korean (Cho, 2001; Kiparsky, 1993; Iverson & Wheeler, 1988; Oh, 1995). Across a morpheme boundary, underlying /t, tʰ/ palatalize to [c, cʰ] before /i/ and /j/ as seen in 6. However, analogous monomorphemic forms in 7 do not palatalize. Here, there is a mismatch between tautomorphemic static generalizations (e.g. [ti] is allowed) and the heteromorphemic dynamic generalization (e.g. [t-i] is repaired by palatalization). Patterns such as these have often required additional theoretical machinery to be accounted for in OT (e.g. Indexed Constraints, Pater, 2007; interleaving of morphology and phonology, Wolf, 2008; underspecification, Kiparsky, 1993; Inkelas 2015). I return to these DEE patterns in Section 2 below in the context of the current study.

(6) Derived palatalization
   a. a. /mat-i/ → [maci] ‘eldest-NOM’
   b. b. /patʰ-i/ → [pacʰi] ‘field-NOM’

(7) Non-derived blocking of palatalization
   a. /mati/ → [mati] ‘knot, field’
   b. /titi-ta/ → [titita] ‘to tread’

At the same time, while these patterns clearly show a dissociation between phonotactics and alternations, there is also evidence that phonotactic constraints within a morpheme or word can exert some influence on generalizations over larger domains. Martin (2011), for example, shows that in the Navajo sibilant harmony case in 1 and 3 above, the harmony generalization within a
word also extends to compound formation, albeit to a lesser degree. In fact, phonotactic effects have been shown to also trickle up to the level of sentence formation (Breiss & Hayes, 2020; Shih & Zuraw, 2017). These patterns suggest then a possible bias to have similar generalizations across multiple domains.

Computational models of phonological learning within constraint-based frameworks often rely on this close relationship between phonotactic generalizations and alternations described above. In common amongst these models is the idea that morphologically-blind phonotactic learning occurs prior to alternation learning since all that is needed for this stage is surface distributional evidence (Hayes, 2004; Jarosz, 2006; Tesar & Prince, 2007). Adriaans and Kager (2010), for example, present a computational model of acquisition that is able to induce phonotactics from unsegmented speech (i.e. without lexical information). Alternation learning is delayed, however, since it requires the prior learning of a lexicon and morphological paradigms in order to track the mapping between different input-output forms. The timeline in infant development of these two types of phonological knowledge offers some support for the hypothesis that phonotactic knowledge is in place before knowledge about alternations. By 8-10 months of age, infants show preferences for phonotactically legal structures over illegal ones in their native language (e.g., Jusczyk et al. 1994, Saffran & Thiessen 2003, Friederici & Wessels 1993). The development of alternation knowledge in production, however, seems more protracted, going beyond the 2nd year of life (e.g., Zamuner, Kerkhoff & Fikkert, 2012). To the extent that perception precedes production, however, evidence from word recognition studies shows that toddlers are able to successfully recognize words that show alternations between 18 and 24 months (Chong & Sundara, 2015; Skoruppa et al., 2013). Further evidence for the emergence of the mechanism to learn alternations has been shown to be in place even earlier. White, Peperkamp, Kirk & Morgan (2008) showed that infants at 12 months were able to learn a novel alternation using distributional cues for the input. They further found that while 8.5-month-old infants were able to learn the frequency of sound sequences in the input, they did not show any evidence of grouping alternating sounds into one functional category (i.e. linking them to the same abstract form). More recent evidence by Sundara, White, Kim & Chong (under revision) documents the trajectory of learning of an English alternation (/d/-tapping) at 12 months, but not at 8-months. All in all, these studies suggest that phonotactic knowledge seems to emerge earlier than alternation knowledge.

In most constraint-based models, it is often assumed that the later alternation learning is
significantly aided by this first stage of phonotactic learning. This follows if they are encoded by a single mechanism – i.e. the same markedness constraint. The idea is that phonotactic learning primarily involves learning what the legal surface structures are and these are encoded with markedness constraints, either with a bias towards higher ranking of markedness constraints over faithfulness (e.g., Hayes, 2004; Tesar & Prince, 2007) or in a model that only deals with learning markedness constraints from surface forms (e.g. Hayes & Wilson 2008). Once phonotactic knowledge (i.e. the relative importance of markedness constraints) is learnt, then the child’s subsequent learning task dealing with alternations is significantly simplified since they can concentrate on learning the relevant repair to ‘fix’ illicit structures (Hayes, 2004; Jarosz, 2006; Tesar & Prince, 2007) which they have already learnt to flag from earlier phonotactic learning. Jarosz (2011), for example, shows that a computational learning model with early phonotactic learning mirrors closely the findings in L1 acquisition of Dutch voicing alternations (Zamuner et al., 2012).

These models which posit a single mechanism contrast with grammatical models which do not posit any link between phonotactics (or MSCs) and alternations (e.g. rule-based SPE; see also Rasin (2016) for a recent proposal in a similar vein). Certainly, the fact that these generalizations can pull apart historically (see above and Paster 2013 for a summary) is suggestive that these generalizations are likely not entirely isomorphic. Some have gone further in eschewing the explanatory status of static distributional patterns entirely. For example, Hale & Reiss (2008) argue that MSCs are not part of a speakers’ grammatical knowledge and are computationally inert as far as input-output mappings that the grammar governs. Note that the choice of constraints vs. rules is not in principle crucial here, but rather whether one component (alternations) has access to the other (phonotactics). For example, Albright & Hayes’s (2003) rule-based morphophonological alternation learning model relies significantly on existing phonotactic knowledge.

These two classes of models therefore make different predictions for alternation learning depending on the kind of phonotactic evidence available. In particular, they make different predictions for the learnability of patterns in which phonotactics and alternations mismatch. On the one hand, models such as OT, in which phonotactics and alternations are encoded using a single mechanism, predict that alternation learning should be more difficult if the phonotactics do not support the alternation. Models in which no such link is explicitly built in, or which eschew any explanatory role to static phonotactic generalizations, predict that there should be no difference
in alternation learning as a function of phonotactics. These models do not privilege supporting phonotactics, nor do they predict any interaction between both types of generalizations in learning—both these generalizations are predicted to be learnt independently. In this article, I examine precisely these predictions using an artificial grammar learning study. In the next sections, I first review previous experimental investigations of phonotactic and alternation learning before turning to the specific aims of the current study.

1.2. Previous experimental investigations. A growing body of work in the last decade or so has examined what mechanisms are involved in phonotactic and alternation learning using artificial grammar learning experiments with adults (e.g. Finley & Badecker, 2009, 2012; Moreton, 2008; Pycha et al., 2003; White, 2014; Wilson, 2006) and infants (e.g., Cristià & Seidl, 2008; White et al., 2008; White & Sundara, 2014). Typically, experimental studies on phonological learning using artificial grammar learning have primarily focused on either the learning of phonotactic knowledge alone (e.g. Chambers et al., 2003; Linzen & Gallagher, 2017; Onishi, Chambers & Fisher, 2002; Richtsmeier, 2011; Saffran & Thiessen, 2003; Skoruppa & Peperkamp, 2011) or the learning of phonological alternations alone (e.g., Cristià et al., 2013; Kapatsinski, 2010; White, 2014; Wilson, 2006).

Few studies, however, have examined how these two types of generalizations interact in learning. Providing some support for the facilitative nature of phonotactic learning, Kapatsinski (2013, 2017) showed that training participants on an over-representation of plural forms with particular structures (e.g. [t]-i) results in learners producing alternations resulting in those structures, even if the alternation (e.g. /t-i/ → [t]-i) is not experienced in training. Pater and Tessier (2005) provided further support for the facilitative role of phonotactics in alternation learning. American English speakers were trained in that study on an alternation ([t]-epenthesis) that was motivated by either a phonotactic generalization in English (Moreton, 1999; lax vowels do not occur in final open syllables. e.g. /bli/ → [blit] but /bli/ → [bli]), or one not supported in the English lexicon (front vowels do not occur in final open syllables; e.g. /li/ → [lit] but /fu/ → [fu]). Conforming to Pater and Tessier’s (2005) prediction, learners learnt the alternation better in the language with phonotactic support from learners’ L1 English ([t]-epenthesis following lax vowels) than the one without ([t]-epenthesis following front vowels). However, the authors point out that, while both languages are of equivalent formal complexity, the latter is typologically unnatural and
unattested. Given that previous studies have shown that learners show a dispreference against unnatural patterns (Becker, Ketrez & Nevins, 2011; Hayes, Siptár, Zuraw, & Londe, 2009; Hayes & White, 2013), it is therefore possible that the poorer performance in the language without phonotactic support could be explained by this alone.

More recent investigations have also failed to find conclusive evidence for a link between phonotactics and alternations. In one study, Chong (2016) trained participants on two artificial languages in which coronal stops (/t/ and /d/) palatalized to [tʃ] and [dʒ] across a morpheme boundary before /i/ (e.g. /dat-i/ → [datʃ-i] and /dubad-i/ → [dubadʒ-i]). In the Across-the-board language, [ti]/[di] sequences did not appear within stems (e.g. stems like [tiʃid] are attested, but not [tib]), ensuring a match between stem phonotactics and alternations (both conform to a constraint *[ti]/[di]). The other language evinced a derived-environment effect modelled on the pattern in Korean described above in 6 and 7: [ti] and [di] sequences appeared within stems mismatching with the alternation (e.g. both [tiʃid] and [tib] are attested even though the latter sequence is palatalized across a morpheme boundary). Participants in both languages learnt the palatalization alternation equally well. However, it was unclear what kind of phonotactic generalizations were learnt in both languages. Learners in the Across-the-board language did not show a difference in endorsement rates of stem-internal [ti]/[di] (e.g. [tibut]) compared to phonotactically legal filler items (e.g. [dakat]) in a blick test, despite an absent of [ti]/[di] sequences in training. It was, therefore, unclear whether or not learners in this language learnt a phonotactic constraint against [ti]/[di] sequences as intended. Learners in the Derived-environment language showed a similar pattern, though interestingly endorsed [ti]/[di] stems like [tibut] more often than learners in the Across-the-board language. Thus, because it was unclear what phonotactic generalizations, if any, were learnt, the results of that experiment were inconclusive vis-à-vis the question of whether phonotactics and alternations are linked in learning.

In another study, Pizzo (2015) examined the degree to which alternation learning affected the learning of phonotactics. Participants in this study were trained on one of two alternations involving consonant clusters across morpheme boundaries: obstruent voicing assimilation (e.g. nemab-fa → nemapf) in which an obstruent devoices preceding another voiceless obstruent or place assimilation (e.g. lobon-fa → lobomf) in which nasals assimilate in place to the following obstruent. Because this was a poverty-of-stimulus design, in the training data, stems did not contain any consonant clusters that would have provided static evidence in support of either alternation...
(i.e. stems like *teldus are attested with a cluster but not an analogous one like *tepfus which would provide static support for the voicing assimilation constraint). Thus, the evidence in the lexicon was ambiguous as to the nature of the phonotactic generalization. In the test phase, participants were given a pair of novel stems with stem-internal consonant clusters and had to decide which word belonged to the language they had just learnt (e.g. voicing assimilation: madfas vs. matfas). For example, if participants were trained on voicing assimilation, when faced with a pair like madfas vs. matfas, they should prefer the latter since this conforms to the alternation pattern, thus extending the alternation pattern to static phonotactics. Participants only showed significant generalization from alternations to phonotactics when there was an intermediate feedback stage in which participants were tested on the alternation they were trained on and provided with corrective feedback. Participants had to reach an accuracy criterion for selecting the correct plural (e.g. the correct plural for nemab is nemapfa, not nemabfa) before they were able to proceed to the final test phase. Pizzo argued that it was possible that participants’ attention was drawn to the relevant constraint through feedback, thereby explaining their success in generalizing the learnt alternation to phonotactics. When this feedback stage was absent, however, Pizzo found no clear effect, although there was a numerical trend in the predicted direction.

Taken together, previous experimental investigations provide inconclusive empirical evidence for the relation between the learning of phonotactics and alternations (in either direction), either due to experimental confounds or inconclusive results. The current study is therefore designed to examine this link, while seeking to avoid some of the confounds and issues of previous studies. In the next section, I give a general overview of the experiments and preview the results.

1.3. CURRENT STUDY. In the series of the experiments below, I examine how phonotactics and alternations interact in learning using an artificial grammar learning paradigm. To do so, I compare the learning of alternations between languages which differ in terms of whether there is supporting phonotactic generalization within stems (Experiment 1). Specifically, I consider what impact mismatched stem-internal phonotactic patterns have on the learning of a related phonological alternation, a pattern modelled after derived-environment effects (DEEs) described in Section 1.1. DEEs are a particularly useful pattern to test the impact of static stem phonotactic patterns on alternation learning since we are able to keep the alternation pattern constant while varying the phonotactic evidence. If phonotactics and alternations are learnt through a shared mechanism, we
expect alternation learning to be less accurate in the cases where stem phonotactics mismatches the generalization that drives the alternation. Experiments 2 and 3 further probe this link between phonotactics and alternations by examining whether learners generalize a learnt phonotactic generalization to an unseen alternation, simulating the possible trajectory in L1 acquisition.

To briefly preview the results, learners fail to learn alternation when this is not supported by stem phonotactics (Experiment 1), and they do not readily generalize a learnt static generalization to an unseen novel alternation (Experiments 2 & 3). These results support a model of the grammar and of learning in which phonotactics and alternations are linked, but in which learning is conservative with an initial anti-alternation bias. That is, learners do not readily assume alternations in the absence of evidence for them. The findings also provide the first empirical evidence that, all else being equal, alternations in DEE patterns are harder to learn. Thus, they also provide an insight into the learnability of DEE patterns, an as yet underexamined aspect to these patterns.

2. EXPERIMENT 1. Experiment 1 was designed to compare alternation learning when there is a match or mismatch with phonotactic generalizations within stems using a vowel harmony pattern. The choice of vowel harmony in these artificial languages was motivated by a few factors. Firstly, it is not a phonological pattern active in English, so we can control for first language phonotactic knowledge. Moreover, previous studies using artificial grammar learning experiments have shown that learners, with a short amount of exposure, are able to learn vowel harmony and generalize to unseen words (Finley & Badecker, 2009, 2012; Koo & Cole, 2006; Pycha, Nowak, Shin, & Shosted, 2003). Pycha et al. (2003), for example, trained participants on singular-plural pairs like [gip]–[gip-ɛk] or [sun]–[sun-ʌk] involving backness vowel harmony between the suffix and stem vowel. Participants in their study successfully learnt the harmony pattern and were able to generalize this to unseen novel forms in a forced-choice task.

Importantly, Skoruppa and Peperkamp (2011) showed that participants are able to successfully learn a static phonotactic generalization regarding well-formedness of words with relatively short exposure (see also Moreton 2008). In their experiment, French listeners were trained on passages in two made-up versions of French that either involved vowel rounding harmony or disharmony (e.g. Harmonic French contained pseudowords like *liquère* [likɛʁ] which contained both front vowels agree in rounding – vs. *liqueur* [likɔʁ] in Standard French where the
front vowels disagree in rounding). Participants were then presented with harmonic and disharmonic nonword pairs (e.g. harmonic *liquère* [likɛʁ] vs. disharmonic *pudère* [pydeʁ]) in a forced-choice task and asked which form belong to the accent they were exposed to. Participants exposed to the Harmonic language showed a significant preference for harmonic nonwords over disharmonic nonwords, indicating successful learning of a static phonotactic generalization.

Finally, vowel harmony patterns are often involved in DEE patterns in which there is a mismatch between stem phonotactics and alternations occur naturally across the world’s languages, as described in Section 1.1. Turkish, for example, shows a vowel harmony alternation across a morpheme boundary, where the suffix vowel alternates to agree in backness with the final vowel of the root (Clements & Sezer, 1982; Lewis, 1967) as shown in 8, although roots themselves can either be harmonic or disharmonic as in 9. In disharmonic roots 8e-g, the suffix vowel agrees with the backness of the final vowel of the root.

(8) Turkish vowel harmony across morpheme boundaries ((a)-(d) are from Clements & Sezer, 1982: 216; (e)-(g) are from Gorman, 2013, originally from TELL: Inkelas, et al. 2000).

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<td>/ip-lAr/ii → [ip-]er</td>
<td>‘rope-NOM.PL’</td>
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<td>b.</td>
<td>/sap-lAr/ → [sap-lar]</td>
<td>‘stalk- NOM.PL’</td>
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<td>c.</td>
<td>/son-In/iii → [son-un]</td>
<td>‘village-GEN.SG’</td>
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<td>d.</td>
<td>/jyz-In/ → [jyz-yn]</td>
<td>‘face- GEN.SG’</td>
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<td>e.</td>
<td>/mezar-lAr/ → [mezar-lar]</td>
<td>‘grave-NOM.PL’</td>
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<td>f.</td>
<td>/model-lAr/ → [model-lar]</td>
<td>‘model- NOM.PL’</td>
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<td>g.</td>
<td>/sabun-lAr/ → [sabun-lar]</td>
<td>‘soap-NOM.PL’</td>
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(9) Turkish vowel harmony (a-b) and disharmony (c-d) in stems (data from Crothers & Shibatani, 1980: 64).

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<td>a.</td>
<td>[sekiz]</td>
<td>‘eight’ (harmonic)</td>
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<td>b.</td>
<td>[oda]</td>
<td>‘room’ (harmonic)</td>
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<td>c.</td>
<td>[mezat]</td>
<td>‘auction’ (disharmonic)</td>
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<tr>
<td>d.</td>
<td>[kitap]</td>
<td>‘book’ (disharmonic)</td>
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Vowel harmony, therefore, is not only learnable in a laboratory setting in terms of both active
phonological alternations as well as static phonotactic generalizations, it is also a pattern implicated cross-linguistically in mismatches between both of these generalizations. This is therefore a useful pattern that allows us to examine how alternations and phonotactic learning interact.

Participants in this experiment were randomly assigned to one of three artificial languages involving vowel harmony: Harmonic, Semi-Harmonic and Disharmonic. In all three languages, there was an exceptionless harmony alternation pattern in which the vowel in the plural suffix [-mu]∼[-mi] alternated to agree in backness with the final vowel of the singular stem. Participants in all three languages were therefore trained on the same amount of evidence for the same categorical alternation with similar phonological conditioning (cf. Pater & Tessier, 2005). Where the languages differed was in how much stem phonotactic support there was for the alternation. In the Harmonic language, vowels in all stems always agreed in backness (e.g. [ˈpime] but *[ˈpimo]), supporting the alternation pattern across the morpheme boundary. In the Disharmonic language, vowels in half the stems agreed in backness (e.g. [ˈpime]), whereas the other half did not (e.g. [ˈpimo]), resulting in a mismatch between the alternation pattern and stem phonotactics. In the Semi-Harmonic language, vowels in 3/4 of the stems agreed in backness whereas 1/4 did not, resulting in partial stem-internal phonotactic support for the alternation.

Since we are interested here in both knowledge of static phonotactic patterns and alternations, participants’ knowledge was probed in two separate test phases designed to examine each of these separately. Participants’ knowledge of stem phonotactics was examined using a two-alternative forced-choice task in the first test phase following Skoruppa and Peperkamp (2011). This test phase involved no accompanying semantic information (by way of images). For convenience, this phase will be referred to as ‘blick test’ (Scholes, 1966). Participants’ knowledge of the phonological alternation was examined using a different forced-choice task involving singular-plural images. Participants had to pick the correct plural form based on two possibilities. This task is often used in artificial language experiments examining alternation learning (e.g., Coetzee, 2009; Finley & Badecker, 2009; White, 2014; Martin & White, 2019). For convenience, this phase will be referred to as the ‘wug test’ (Berko, 1958). If alternations and phonotactics are linked in learning, we expect that learning overall will be more accurate in the Harmonic language compared to either the Semi-Harmonic or Disharmonic language. I return to specific predictions below in Section 2.2.
2.1. METHODS

PARTICIPANTS. A total of 45 American English participants (14 M; 30 F; 1 unreported; mean age: 22) were recruited from the UCLA Psychology Pool, and all participated for course credit. Participants were randomly put into one of the three artificial language groups (15 in each). 25 more were tested but were excluded due to having the wrong first language background (n = 4), knowing a language with vowel harmony (Armenian; n = 1), not completing the experiment (n = 15), recognizing the vowel harmony pattern (n = 2), taking notes (n = 3). These exclusions were based on a post-experiment online debriefing form that participants had to fill in.

PROCEDURE. Participants were tested over the Internet using Experigen (Becker & Levine, 2014), and were told that they were going to learn words from a foreign language. They were asked to pay attention to what they were hearing but were told that they did not have to memorize any of the words. On each trial in the training phase, either singular or plural word forms were presented auditorily accompanied by a corresponding image. That is, singular and plural word forms were not presented side-by-side on the same trial. This meant that learners were not able to directly compare singular and plural forms on a given trial, but had to do so across trials, making it a more difficult learning task. There were 3 training blocks with 64 trials each resulting in a total of 192 training trials. On a given trial, participants were able to hear a particular stimulus item just once (i.e. they could not replay a stimulus item).

In the first test phase – the blick test – participants heard two novel CVCV words (one harmonic and one disharmonic, e.g. [ˈgike] vs. [ˈgiko]) and had to decide which belonged to the language they had learned. These were all ostensibly singular stems as they were all disyllabic. No images were presented during this phase to encourage decisions based on just the phonological form. The order of presentation of the two CVCV options was randomized such that harmonic words and disharmonic words occurred equally as the first member of the pair.

Finally, in the wug test phase, participants heard a novel CVCV singular stem with an accompanying singular image (e.g. one frog), then saw a plural image (e.g. two frogs) and heard two possible forms for the plural ([-mi] vs. [-mu]) for that plural image. They then had to pick what they thought was the correct plural form. This differs from the blick test phase in that participants are given the paradigmatic information between singular and plural pairs with
accompanying images. Thus, while the contrast in the blick test was between possible singular stems, in the wug test the contrast was between forms which showed harmonizing and non-harmonizing suffixes. The order of presentation of each possible plural form was counterbalanced such that each plural form occurred equally as the first member of the pair.

**Artificial Languages.** Three artificial languages were constructed that consisted of disyllabic CVCV singular stems, along the lines of the artificial languages in Finley and Badecker (2009). CVCV singular stems were constructed using consonants \{p, b, t, d, k, g, m, n\} and vowels \{i, e, u, o\}. The plural was marked with a suffix that had two allomorphs [-mu] or [-mi] that agreed with the backness/roundness specification of the final vowel of the stem. The allomorph [-mu] appeared when the final vowel of the root was back/rounded [u, o], and the allomorph [-mi] appeared when the final vowel of the root was front/unrounded [i, e]. Across all three languages, the plural suffix always harmonized with the final vowel of the stem, with stems occurring equally frequently with the [-mu] allomorph and the [-mi] allomorph (half each). All three languages, therefore, had the same amount of evidence for the same alternation (100%).

Where the languages differed was in the proportion of harmonic stems in training. In the Harmonic language, all singular stems contained vowels that were harmonic for backness/roundness (e.g. ['buno] but *['pume]). All harmonic V-V sequences occurred equally frequently. Contrastively, in the Disharmonic language, half the stems contained harmonic vowel sequences (e.g. ['buno]) and half disharmonic (e.g. ['pume]), yielding a mismatch between phonotactics and alternations. All V-V sequences, both harmonic and disharmonic, occurred equally frequently. This means that the total number of harmonic V-V sequences was half that in the Harmonic language. Finally, in the Semi-Harmonic language, 75% of stems contained harmonic vowel sequences, with the remaining quarter of stems containing disharmonic vowel sequences. Each possible disharmonic V-V sequence occurred just once. Disharmonic stems were created by changing one of the vowels in a harmonic stem, thereby ensuring that as much as possible was kept constant across all the languages. In total, 32 CVCV stems were created for each language. A summary of the proportion of harmonic and Disharmonic stems across all three languages is shown in Table 1, and a full list of training items can be found in the Appendix (Tables 3-5).
For the blick test, 16 pairs of novel test words were created using the same set of consonants and vowels as the training stimuli. Each pair of blick test words contained a harmonic word (e.g. [ˈɡike]) and a disharmonic word (e.g. [ˈɡiko]). Disharmonic words were created by changing one of the vowel’s backness (and roundness) specification while maintaining the same height feature. Half the blick items differed in the first vowel, and the other half in the second vowel. Finally, for the wug test, 16 novel disyllabic words were created in the same fashion except that only harmonic stems were used. The same novel test stimuli in both blick and wug tests were used with learners in all three language groups. Note that in the blick test, it is only in the Harmonic language where there is always a correct answer – the harmonic word. Either words are possible in the Semi-Harmonic and Disharmonic languages. Contrastively, in the wug test, there is always the same correct response (i.e. the harmonizing plural) across all three languages. A full list of test stimuli is shown in the Appendix (Tables 8 and 9).
AUDIO STIMULI. Audio stimuli were recorded by a female phonetically trained speaker of American English who was naïve to the goal of the current study. Target words were always realized with declarative intonation with stress placed on the initial syllable of the target word, ensuring that stress was always on the same syllable in both singular and plural forms. Voiceless stops were always produced with aspiration and voiced stops were produced with voicing through the entire closure as much as possible. Recordings were made using PCQuirer (Scicon R & D 2015) at a sampling rate of 22,050 Hz and were scaled to an average of 70 dB.

VISUAL STIMULI. Visual stimuli consisted of digital images of animals and everyday objects obtained freely over the Internet (260 X 200 pixels). Singular images always contained just one animal/object and plural images always contained two.

2.2. PREDICTIONS FOR LEARNING. Based on Moreton (2008) and Skoruppa and Peperkamp’s (2011) findings, we expected Harmonic language learners to learn the phonotactic pattern successfully. Because there is no such generalization available for Disharmonic language learners, they should not infer any phonotactic generalizations regarding harmony. Finally, in the Semi-Harmonic language, we expected the learning of the phonotactic constraint to be better than in the Disharmonic language, but worse than in the Harmonic language group, given that learners are sensitive to gradient statistical generalizations in their input language (e.g., Becker et al., 2011; Frisch & Zawaydeh, 2001; Goldrick & Larson, 2008; Hayes, 2000; Hayes et al., 2009).

Recall that in all three languages, there was exceptionless evidence for the phonological alternation across the morpheme boundary. That is, the phonological conditioning for the choice of allomorph for the plural was consistent across all three languages. So unlike in the blick test, the correct response was always the harmonic plural in all three languages. For a singular like [ˈkobo], the correct plural should be [ˈkobomu] and not *[ˈkobomi] in all three languages. Moreover, all stems in the wug test were harmonic, thus grammatical in all three languages. If phonotactics has no impact on alternation learning, we expect that learners in all three language groups should learn the alternation equally well.

If, however, phonotactics has an effect on alternation learning, we expected the strength of alternation learning to mirror that of phonotactic learning: Harmonic language learners will learn the alternation the best, Disharmonic learners the worst, and Semi-Harmonic language learners in
between. Importantly, we expect that the Disharmonic learners, in particular, will be poorer at learning the alternation despite the exceptionless evidence for it in training.

2.3 RESULTS

Figure 1: Experiment 1: Rate of choosing harmonic words in (L) blick test and (R) wug test. Each black cross represents a single participant with the large black dot indicating mean rates for each language group with 95% confidence intervals. The linear black line indicates a linear fit to the data with 95% confidence intervals in gray shading.

Blick Test: Phonotactic Generalizations. Figure 1(L) shows the rate of choosing harmonic words in the blick test across the three language groups. First, we were interested in whether, on the whole, there was a significant relationship between the proportion of harmonic stems in training and the rate of choosing harmonic stems. A mixed effects logistic regression model (Jaeger, 2008), using the glmer function from the lme4 package (Bates et al., 2015) in R (R Core Team, 2015) was fit to the blick data with proportion of harmonic stems in training as a continuous variable. The model also contained by-subject and by-item random intercepts. This was the maximal model to converge. Full model specifications for all models are provided in the supplementary materials. Significance values were obtained using the summary() function. The rate of choosing harmonic words increased significantly as a function of the proportion of harmonic stems in the training language ($\beta = 0.024, SE = 0.005, z = 4.73, p < 0.001$). That is, the
higher the proportion of harmonic stems in the trained language the more likely learners chose harmonic words over disharmonic words in the blick test.

Next, we analyzed the rate of choosing harmonic words with Language (Harmonic, Disharmonic and Semi-Harmonic) as a categorical factor to directly compare performance between each language group. The model also contained by-subject and by-item random intercepts. This was the maximal model to converge. We were interested in (a) whether participants’ performance in each language group was significantly different from chance (i.e. is the intercept significant?), and (b) how the rate of choosing harmonic words differed between each language group. Significance of the fixed factor was initially assessed using the summary() function. Post-hoc simultaneous multiple comparisons were then conducted using the multcomp package (Hothorn, Bretz & Westfall, 2008) in R using a contrast matrix (see supplementary materials) to ascertain whether each language differed significantly from chance, and how each language differed from each other. P-values were adjusted using Shaffer’s correction for multiple comparisons (Shaffer, 1995). Overall, Harmonic language learners showed a significant preference for harmonic words ($\beta = 1.23$, $SE = 0.23$, $z = 5.39$, $p < 0.001$) whereas Disharmonic language learners did not ($\beta = 0.001$, $SE = 0.21$, $z = 0.005$, $p < 1.00$). Interestingly, Semi-Harmonic learners’ overall numerical preference for harmonic stems was not significantly different from chance ($\beta = 0.41$, $SE = 0.21$, $z = 1.92$, $p = 0.17$). Further, as predicted, Harmonic language learners chose harmonic words significantly more than both Disharmonic learners ($\beta = 1.23$, $SE = 0.26$, $z = 4.80$, $p < 0.001$) and Semi-Harmonic learners ($\beta = 0.82$, $SE = 0.26$, $z = 3.21$, $p = 0.003$). There was no significant difference between the rate of choosing harmonic words between Disharmonic and Semi-Harmonic learners ($\beta = 0.41$, $SE = 0.24$, $z = 1.68$, $p = 0.17$).

The overall results from the blick test generally confirm that the nature of phonotactic generalizations learnt from the training data differed given the differences in the lexical statistics in the input, the variable that was primarily manipulated. Given this, what kinds of generalizations did participants arrive at in terms of phonological alternations?

**WUG TEST: ALTERNATIONS.** The rate of choosing correct plurals in all three languages is shown above in Figure 1(R). As in the blick test, we were first interested in examining whether the rate of choosing harmonic plurals was proportional to the proportion of harmonic stems in training. The rate of choosing correct plurals was analyzed using a mixed-effects logistic regression model
with proportion of harmonic stems in training in each language as a linear independent factor. The model also contained by-subject and by-item random intercepts. This was the maximal model to converge. Participants’ performance in the wug test mirrored their performance in the blick test, with the rate of choosing harmonic plurals increasing significantly as a function of the proportion of harmonic stems in the training language ($\beta = 0.022, SE = 0.008, z = 2.82, p = 0.005$). Thus, as in the blick test, the rate of endorsing correct (harmonic) plurals increased in line with the proportion of harmonic stems in training.

To directly compare performance in each language, the rate of choosing proportion correct responses was analyzed using a mixed-effect logistic regression with Language (Harmonic, Disharmonic and Semi-Harmonic) as a categorical factor, with random intercepts by subject and item; this was the maximal model to converge. Post-hoc tests were conducted as above. Harmonic learners showed significantly above chance rates of choosing the correct plural ($\beta = 1.42, SE = 0.30, z = 4.84, p < 0.001$), indicating successful learning of the alternation, whereas Disharmonic ($\beta = 0.30, SE = 0.27, z = 1.14, p = 0.26$) and Semi-Harmonic learners’ accuracy ($\beta = 0.52, SE = 0.27, z = 1.95, p = 0.15$) was not significantly different from chance. Pairwise comparisons revealed that Harmonic learners learned the alternation significantly better than Disharmonic language learners ($\beta = 1.12, SE = 0.39, z = 2.86, p = 0.01$). Semi-Harmonic language learners’ accuracy was significantly lower than Harmonic language learners ($\beta = 0.90, SE = 0.39, z = 2.30, p = 0.04$), and at the same time, not significantly different from Disharmonic language learners ($\beta = 0.22, SE = 0.37, z = 0.59, p = 0.56$). Thus, Harmonic learners learnt the alternation, whereas those in the other two groups did not.

2.4. Correlation between phonotactics and alternations. As seen in the previous sections, overall performance of participants in the wug test mirrored performance on the blick test. To further investigate the relationship between phonotactic and alternation learning, I examined the correlation between individual learner’s performance on the blick test and their performance on the wug test across all three languages. Figure 2 shows each learners’ rate of choosing the correct plural in the wug test (strength of alternation learning on the y-axis) as a function of their rate of endorsing harmonic stems over disharmonic ones in the blick test (phonotactic learning on the x-axis) collapsed across all three language groups. There was a significant positive correlation between the rate of choosing harmonic words in the blick test and
the rate of choosing correct harmonic plurals ($R^2 = 0.32$, $r(43)=0.56$, $p < 0.001$), suggesting that on an individual participant's level, alternation learning was correlated with phonotactic learning.

![Diagram showing correlation between phonotactic and alternation learning](image)

**Figure 2.** Experiment 1: Correlation of between performance in blick and wug tests. Solid black line is the line of best fit, and gray shading indicates 95% confidence intervals.

2.5. **Discussion.** The results of Experiment 1 show that alternations are more difficult to learn when stem phonotactic generalizations mismatch with the dynamic generalization about alternations. Recall that, across all three languages, the phonological conditioning for the harmony alternation (or allomorph selection) across the morpheme boundary was exactly the same (i.e. the co-occurrence of each allomorph and the harmonizing vowel was exceptionless). In fact, participants in the Disharmonic and Semi-Harmonic language groups failed to learn the alternation despite the exceptionless evidence for the alternation in the learning data. Given that the main difference across the language groups was in terms of the proportion of harmonic stems in training, it seems that the alternation learning task is made more difficult if stem phonotactics do not support the dynamic generalization about alternations. More generally, on an individual level, the accuracy of alternation learning correlated with the degree to which each participant inferred a phonotactic constraint regarding harmonic stems, suggesting further that phonotactic learning aids alternation learning. Our results, therefore, provide evidence for a shared mechanism in the learning of phonotactics and alternations.
While there was a significant overall positive linear relation between proportion of harmonic stems in training and the strength of both phonotactic and alternation learning, there was no significant difference between the Disharmonic and Semi-Harmonic learners when these groups were directly compared. Further, Semi-Harmonic learners showed chance-level endorsements of both harmonic stems and correct harmonic plurals. Given that performance by Semi-Harmonic learners was numerically higher than that of Disharmonic learners, and intermediate between them and Harmonic learners, it is possible that the study was underpowered to detect a difference between Semi-Harmonic and Disharmonic learners. I return to this result in Section 5.3 in the General Discussion.

To summarize, in Experiment 1, learners were poorer at learning alternations when stem phonotactics did not provide support for the alternation. When exposed to a language in which both types of generalizations accorded with each other, participants successfully learnt the alternation. Note that Disharmonic learners accurately matched the phonotactic generalization (or lack thereof) in the training data, and failed to learn the alternation. In principle, their performance could have mismatched the training data by accurately learning the alternation and extending that to a preference for harmonic stems over disharmonic ones. This result is suggestive of a unidirectional link between phonotactics and alternations.

Experiment 1, however, was not set up to directly examine the directionality of this link since both sources of information on phonotactics and alternations were presented in training. Experiment 2 examines this question more directly. In Experiment 2, I examine whether learners are biased to have alternations reflect stem phonotactics without any exposure to the alternation at all. That is, do learners spontaneously expect alternations to reflect stem phonotactics in the absence of any alternation evidence in learning (i.e. do they generalize from static phonotactics to alternations?).

3. **EXPERIMENT 2.** It is generally assumed in L1 acquisition that phonotactic learning supports alternation learning due to the fact that morphology is learnt later, and morphology is necessary for alternation learning. Experiment 2 was therefore designed to simulate this two-stage process by withholding morphological information in training. Using a poverty-of-stimulus design, Experiment 2 examines whether or not learners spontaneously expect alternations to reflect a learned phonotactic generalization. Participants were trained on the Harmonic and Disharmonic
languages used in Experiment 1. In Experiment 2, however, learners were only presented with singular CVCV stems and did not get exposed to plurals in training. Thus, learners only had evidence for a static phonotactic generalization but did not get evidence regarding phonological alternations. If learners spontaneously expect alternations to reflect phonotactic generalizations, then we expect that learners should replicate the performance in the wug test of Experiment 1, learning the alternation successfully even without any evidence for this. Such a result would therefore provide strong evidence that phonotactics and alternations are encoded using a single mechanism.

3.1. Methods.

Participants. A total of 30 participants (8 M; 22 F; mean age: 21) were recruited from the UCLA Psychology Pool. Participants were randomly put into one of the two artificial language groups (15 in each). 6 more were tested but were excluded due to having the wrong first language background (n = 3), not completing (n = 2) and for taking notes in training (n = 1).

Stimuli. Given the lack of a difference in learning between the Semi- and Disharmonic languages in Experiment 1, in Experiment 2 only stimuli from the Harmonic and Disharmonic languages were used.

Procedure. The procedure in Experiment 2 was largely the same as in Experiment 1. In training, however, participants were only trained on disyllabic singular stems (with accompanying singular images). Participants were never familiarized on trisyllabic words or plural images. In order to provide the same amount of learning data as in Experiment 1, there were 6 blocks of training instead of the previous 3, since initial piloting revealed that phonotactic learning did not occur on just 3 blocks of training. This was achieved by repeating the 3 blocks twice with the order randomized across participants. This ensured that participants were exposed to 192 training trials (as in Experiment 1). The two test phases were the same as in Experiment 1. As in the wug test in previous experiments, participants were told that they were going to hear two words for a given image and that they had to pick the word they thought was correct for the language they had just learnt. Thus, in the wug test, learners had to generalize a learnt phonotactic generalization about disyllabic singular stems to unseen alternations (trisyllabic plurals).
3.2. RESULTS

FIGURE 3: Experiment 2: Rate of choosing harmonic words in (L) blick test and (R) wug test. Each black cross represents a single participant with the large black dot indicating mean rates for each language group with 95% confidence intervals.

BLICK TEST: PHONOTACTIC GENERALIZATIONS. The rate of choosing harmonic words in the blick test in Experiment 2 (Figure 3(L)) was analyzed, as in Experiment 1, using a mixed-effects logistics regression model. The model contained Language (harmonic vs. disharmonic) as a fixed factor, as well as random intercepts by participant and item.

Replicating the results of Experiment 1, Harmonic language learners chose harmonic words significantly more often than chance ($\beta = 0.91$, $SE = 0.21$, $z = 4.39$, $p < 0.001$), and significantly more often than Disharmonic language learners ($\beta = 1.05$, $SE = 0.20$, $z = 5.16$, $p < 0.001$). As in both previous experiments, Harmonic language learners inferred a phonotactic preference for harmonic words whereas Disharmonic language learners did not ($\beta = -0.14$, $SE = 0.20$, $z = -0.72$, $p = 0.47$), following the available evidence in the training data.

WUG TEST: PHONOLOGICAL ALTERNATIONS. The rate of choosing correct plurals in the wug test in Experiment 2 (Figure 3(R)) was analyzed as in Experiment 2. A mixed-effects logistic regression
with Language (Harmonic vs. Disharmonic) as a fixed factor was fit to participants’ responses, with only a by-item random intercept. Unlike in Experiment 1, learners’ preference for harmonic plurals did not differ significantly from chance (Harmonic: $\beta = 0.05$, $SE = 0.17$, $z = 0.31$, $p = 0.76$; Disharmonic: $\beta = -0.23$, $SE = 0.17$, $z = -1.34$, $p = 0.41$), and there was no significant difference in the rate of choosing correct plurals across both languages ($\beta = 0.28$, $SE = 0.19$, $z = 1.49$, $p = 0.41$). This indicates that despite inferring a phonotactic generalization preferring harmonic stems, Harmonic language learners nevertheless did not spontaneously extend this generalization to a novel morphological domain.

3.3. DISCUSSION. In Experiment 2, Harmonic language learners succeeded again in learning a phonotactic generalization favoring harmonic stems. However, they failed to extend a learnt phonotactic generalization to an unseen plural alternation, suggesting that learners are highly conservative in extending phonological generalizations to a novel morphological (or potentially semantic) domain. It is possible, however, that participants failed to extend the static phonotactic generalization learnt in the training phase to novel alternations due to the fact that participants only heard disyllabic stems in training. In the wug test, participants had to generalize to novel trisyllabic forms since all plurals are trisyllabic, as well as to a novel morphological context (i.e. plurals vs. singulars). Thus, their failure to extend the generalization could be due to the novel trisyllabic form of the plurals which did not appear in training. To examine this possibility, in Experiment 3, we examined whether participants learnt the alternation when trained on both disyllabic and trisyllabic stems.

4. EXPERIMENT 3: TRAINING ON TRISYLLABIC STEMS. To address the possibility that learners need to have exposure to trisyllabic forms in order to generalize to unseen trisyllabic plurals, in Experiment 3, half of the disyllabic stems in the training stimuli were modified to be trisyllabic by adding another CV syllable at the end. Thus, learners were exposed to both disyllabic and trisyllabic stems in training and were then asked to extend the generalization to unseen trisyllabic plurals. So, if learners succeed in generalizing from a stem phonotactic to a phonological alternation, when exposed to trisyllabic stems in training, it would suggest that learners do expect alternations to reflect phonotactics but require experience with the relevant word shapes (i.e. trisyllabic words). If, however, participants still fail to generalize to plurals, this would suggest
that they require explicit evidence for an alternation in order to learn it, even when the alternation conforms to a learnt phonotactic constraint.


Participants. Another 30 participants (5 M; 24 F; 1 unreported; mean age: 21) were recruited from the UCLA Psychology Pool. Participants were randomly put into one of the two artificial language groups (15 in each). 9 more were tested but were excluded due to having the wrong first language background (n = 5), not completing the experiment (n = 4).

Stimuli. In order to create trisyllabic stems, extra CV sequences were generated from the original set of Cs {p, b, t, d, k, g, m, n} and Vs {i, e, u, o}, with each C and V occurring equally frequently in this position (each C occurred twice and each V occurred four times), yielding 16 novel CVs. These were concatenated with half of the stems (16 stems out of 32) in the training data used in Experiment 1 and 2 to create trisyllabic stems (e.g. old stem [ˈkete] + new CV [be] → new stem [ˈketebe]). Half of this set of stems were disharmonic in the Disharmonic language, but harmonic in the Harmonic language. The other half were harmonic in both languages. In the Disharmonic language, half the trisyllabic stems contained [+back][+front][+back] vowel sequences and half of them [+front][+back][+front] vowels. The resulting training set contained half disyllabic and half trisyllabic stems. Only two languages were used for this experiment: Harmonic and Disharmonic. A full set of stimuli items are shown in Tables 6 and 7 in the Appendix. We used the same test stimuli as in Experiments 1-2, to maintain the ability to compare results across experiments. So, learners only needed to decide between disyllabic words in the blick test.

New trisyllabic stems were recorded by the same speaker used for the original stimuli in Experiment 1 using PCQuirer (Scicon R & D 2015) at a sampling rate of 22,050 Hz and were rescaled to an average of 70 dB. As in previous experiments, stress was always placed on the initial syllable, voiceless stops were always aspirated, and voiced stops always voiced throughout the closure.

Procedure. Training in Experiment 3 proceeded as in Experiment 2, with the sole difference that there were trisyllabic singular stems in training (e.g. [ˈketeb]). Once training was completed, participants proceeded on to the two test phases, as in Experiments 1 and 2.
4.2. RESULTS.

![Figure 4](image.png)

FIGURE 4: Experiment 3: Rate of choosing harmonic words in (L) blick test and (R) wug test. Each black cross represents a single participant with the large black dot indicating mean rates for each language group with 95% confidence intervals.

BLICK TEST: PHONOTACTIC GENERALIZATIONS. The rate of choosing harmonic words in the blick test in Experiment 3 (Figure 4(L)) was analyzed using mixed-effects logistic regression with Language (Harmonic vs. Disharmonic) as a fixed factor, along with by-participant and by-item random intercepts. This was the maximal model to converge. Harmonic language learners chose harmonic words significantly above chance ($\beta = 0.68, SE = 0.17, z = 3.96, p < 0.001$), whereas Disharmonic language learners did not show a significant preference ($\beta = -0.03, SE = 0.17, z = -0.21, p = 0.84$). Importantly, Harmonic language learners showed a significantly stronger preference for harmonic words over disharmonic words ($\beta = 0.72, SE = 0.19, z = 3.70, p < 0.001$) compared to Disharmonic language learners. This replicates results of Experiments 1-2 that show different phonotactic learning outcomes between both language groups depending on the presence of disharmonic stems in the lexicon, but with training using trisyllabic as well as disyllabic stems.

WUG TEST: PHONOLOGICAL ALTERNATIONS. Participants’ responses (Figure 4(R)) in the wug test were analyzed, as in previous experiments, using a mixed-effects logistic regression with
Language (Harmonic vs. Disharmonic) as a fixed factor, and random intercepts by participant and item (this was the maximal model to converge). Harmonic language learners chose correct (harmonic) plurals at a rate that was not significantly different from chance ($\beta = 0.04$, $SE = 0.19$, $z = 0.19$, $p > 0.50$), as did Disharmonic language learners ($\beta = -0.18$, $SE = 0.19$, $z = -0.95$, $p > 0.50$). Importantly, there was no significant difference in terms of accuracy between both language groups ($\beta = 0.21$, $SE = 0.26$, $z = 0.82$, $p > 0.50$). Thus, as in Experiment 3, participants did not extend the phonotactic generalization about stems, including trisyllabic stems, to novel unseen plurals that involved alternations.

4.3. DISCUSSION. In Experiment 3, participants were trained on trisyllabic stems as well as disyllabic stems and could have extended a learnt phonotactic generalization about trisyllabic forms to novel plurals that are all trisyllabic. Harmonic language learners, as expected, showed successful learning of phonotactics, at least as indicated by performance on the blick test with disyllabic forms. Yet, they failed to extend this generalization to the novel alternation, replicating the behavior with regards to alternations in Experiment 2.

The failure to extend the static phonotactic generalization might be surprising if we consider the fact that learners in Finley and Badecker’s (2009) study were able to extend a learnt generalization about trisyllabic forms to a novel alternation. The difference, however, between the current experiment and Finley and Badecker’s (2009) is that in their experiment, participants were trained explicitly on one harmony alternation (e.g. [bide]~[bide-mi], [podu]~[podu-mu]) and tested on a different one involving a novel suffix which involving the same abstract generalizations (e.g. [bidi]~[bidi-ge] vs. [podo]~[podo-go]). That study therefore examined generalization from one alternation to another unseen novel alternation. Here, learners were not trained on any alternations but rather a static phonotactic generalization in singular stems. In the wug test, they then had to extend this generalization to a novel unseen alternation in plurals in a different morphological domain. Thus, this presents a different, and likely more difficult, task than that in Finley and Badecker (2009).

Together, the results of Experiment 2 and 3 suggest that learners are conservative in positing alternations when there is no direct evidence for them in the input. They do not extend a learnt phonotactic generalization to a novel morphological domain, or novel semantic context, possibly reflecting an anti-alternation bias in early morphophonological learning. An examination
of individual learner behavior in both Experiments 2 and 3 is partially consistent with this possibility: some learners do indeed show a strong preference for one of the two allomorphs, but others do not seem to show a preference at all (see Figure 5 as an example of the distribution of suffix preferences for the Disharmonic Language in Experiment 3, see supplementary materials for full data).

![Figure 5: Rate of suffix allomorph choice of Harmonic language learners in Experiment 3.](image)

5. GENERAL DISCUSSION. Using a series of artificial grammar learning studies, I investigated how static phonotactic generalizations affect learning of dynamic generalizations involving alternations. Experiment 1 examined alternation learning across languages with varying amounts of phonotactic support. Learning of the alternation was most successful in the Harmonic language where the phonotactics match the alternation. Learners in both the Semi-Harmonic and Disharmonic languages, however, did not learn the alternation. While Semi-Harmonic language learners showed a numerical advantage over Disharmonic learners, this difference did not reach significance. Alternation learning, therefore, is impeded when not supported by phonotactics. In Experiments 2 and 3, I examined whether learners generalize a learnt phonotactic generalization
to a novel unseen alternation to examine where there is an initial bias to maintain similar generalizations across both domains. Learners failed to extend a learnt phonotactic generalization to a novel unseen alternation regardless of whether they were trained on disyllabic stems (Experiment 2) or trisyllabic stems (Experiment 3). In the next sections, I discuss the implications these results have for a model of phonotactic and alternation learning, and the grammar, as well as for the learnability of derived-environment patterns.

5.1. PHONOTACTICS AND ALTERNATIONS IN LEARNING. What does this mean for the relationship between phonotactics and alternations in learning? The results of Experiment 1 show that phonotactic mismatches impede the learning of an alternation. This is consistent with the notion that phonotactic and alternation learning are learnt through a shared mechanism. Across the different language groups, the amount of evidence for alternations at the morpheme boundary was kept consistent and exceptionless. Thus, the failure of Disharmonic and Semi-Harmonic language learners to successfully learn the alternation was in spite of there being evidence to support this in the training data – learners in both of these languages under-learned the alternation. Experiment 1 therefore provides experimental evidence in support of the hypothesis that phonotactics and alternations are learnt through a shared mechanism, and that phonotactics facilitates learning of alternations (cf. Pater & Tessier, 2005).

While phonotactics and alternation learning seem intertwined, learners are nevertheless conservative in extending a learnt static phonotactic pattern to a novel form in a different morphological or semantic domain, as evidence by the lack of generalization in Experiments 2 and 3. This behavior is not predicted by a simple learning model in which phonotactic generalizations are just projected onto novel alternations, nor, a learner in which more general structure-insensitive constraints are preferred (e.g. Martin 2011). Either of these would have predicted that learners in the wug pluralization task would have applied their learnt phonotactic generalizations onto unseen novel plurals and picked the plural option (from the two options given) that conformed to the learnt phonotactic generalization.

Instead learners are conservative in two possible non-mutually exclusive ways, both of which arise as reasonable responses to the subset problem (Berwick, 1985). For one, learners have been shown to be biased towards non-alternation in the absence of evidence for alternations (Benua, 2000; Coetzee, 2009; Do, 2013; Hayes, 2004; McCarthy, 1998; Tessier, 2007, 2012). In
Experiments 2 and 3, learners were only trained on singular stems, and did not have any evidence for the alternation in training. The first time they encountered plurals was during the final test phase. Their failure to spontaneously posit an alternation, and the fact that at least some participants also showed a preference for one of the plural suffix forms, is consistent with this initial anti-alternation bias.

Learners’ conservatism could also stem from another related source. Learners might be conservative in the morphological domains they posit a phonotactic generalization to hold over (Gallagher et al. 2019). In the current study, a conservative learner might have observed that the only forms they observed in Experiments 2 and 3 were singulars and constructed phonotactic generalizations relative to the domain of singulars. In effect, learners might have posited a sublexicon (e.g. Allen & Becker, 2015; Becker & Gouskova, 2016) or cophonology (e.g. Inkelas et al., 1997; Inkelas & Zoll, 2007) of just singular stems (as cued by singular images) and learnt a phonotactic constraint that holds of just singular stems. When faced with novel plurals involving suffixation, they fail to generalize spontaneously without further evidence. This is in a similar vein to what Hayes (2004) describes as ‘Favor Specificity’ – here the bias to posit the morpheme-specific domain to the phonotactic generalization (i.e. stems) can be seen as an example of this.

For the Harmonic language learners in Experiments 2 and 3, assuming non-alternation and the most restricted domain for a particular generalization results in the initial learning of the most restrictive grammar that is consistent with the learning data (Berwick, 1985) – i.e. harmony but only in singular stems. At the start of the pluralization task, learners would have noticed that the pattern involves a different morphological context (i.e. plural). This is consistent with Gallagher et al.’s (2019) two-stage learning model in which phonotactic learning is revisited once morphological structure is provided and words can be further segmented into constituent morphemes. Thus, it might have been that learners were at the start of this second morphologically-sensitive learning phase in the experiments.

The prediction then is that if learners where then trained explicitly on the alternation after a round of pure phonotactic learning, Harmonic learners would learn the alternation pattern rather easily and quickly, compared to learners in either the Disharmonic or Semi-Harmonic language. The results of Experiments 2 and 3 further raise the question of when, and under what conditions, learners in artificial grammar learning studies, and indeed in L1 acquisition, generalize across morphological domains - e.g., do learners generalize a phonological constraint to all relevant
suffixes or stem types (e.g. nouns vs. verbs)? Or is it necessary for evidence to be given morpheme by morpheme? I leave it to future work to further examine how exactly this transition between morphologically-blind to morphology-sensitive learning takes place.

All in all, a learning model (or a model of the phonological grammar) in which phonotactic knowledge about legal sequences within stems and alternation knowledge are acquired completely separately and independently of each other fails to account for the results of Experiment 1. Models in which the grammar that governs phonotactics (or MSCs) and phonological rules are completely independently of each other thus falls short on this regard (cf. Paster, 2013; Rasin, 2016). This also does not support the claim that these static generalizations are computationally inert (e.g. Hale & Reiss 2008). These models would have predicted successful learning of the alternation in all three languages. Yet it is likely that these mechanisms are not completely isomorphic to a simple OT model with a single (phonotactic) constraint would suggest, and that learning has to be revised in some way once morphological structure is learnt (Experiments 2 and 3). This could either be through the introduction of both structure-blind and structure-sensitive markedness constraints (Martin, 2011) or even, in principle, accommodated under a dual-component architecture. At minimum, however, there needs to be a link spelt out between the two components that encodes possibly some pressure for alternations to reflect phonotactics (cf. Martin, 2011).

Elaboration of this link would benefit from examination of how learning occurs in cases where static phonotactic generalizations do not match alternation patterns (or vice versa). Recent computational work has sort to address how learning in these cases might occur. Gallagher et al. (2019) for example account for the root-bound laryngeal co-occurrence restrictions in Bolivian Aymara in Section 1.1 above by allowing phonotactic learning to be sensitive to morphological boundaries. They argue for a two-stage process where phonotactic learning first proceeds at the word level, before being reassessed when morphological boundaries are learnt. Under a different approach, Whang & Adriaans (2017) presented a model in which phonotactic constraints are less important in determining alternations (Japanese high vowel deletion between voiceless obstruents) which go against a larger phonotactic preference (a general preference for CV syllable structure). In their model, alternations are primarily a lexical process, with phonotactics playing a more minor role of filtering out final candidates that survive an initial lexical grammar. Future work would seek to further test these models against a wider set of cases.
5.2. LEARNABILITY OF DERIVED-ENVIRONMENT EFFECTS. In addition to addressing the broader question regarding the relationship between phonotactics and alternations in learning, the current study also has further implications for the learnability of phonological alternations in so-called ‘derived-environment effects’ (Kiparsky, 1993). As discussed in section 1.1, derived-environment effects (DEEs) involve an active alternation, by which illicit structures are repaired at the morpheme boundary, despite the fact that these same structures are attested within morphemes. In Korean, for example, /t/ is palatalized before [i] across a morpheme boundary, but [ti] sequences are attested stem-internally. To the best of my knowledge, however, no study has examined the learnability of these patterns.

The Disharmonic language in Experiment 1 was modelled after a DEE pattern. Learners of this language failed to learn the phonological alternation with cases of mismatching phonotactics, despite being exposed to explicit evidence of an alternation in the learning data. This suggests that alternations involving DEEs are more difficult to learn compared to a language in which alternations and phonotactics match (the Harmonic language in the current study). This further predicts that such language patterns are, all else being equal, dispreferred. In fact, textbook cases of DEEs in which an alternation is not supported by stem-internal phonotactics, but yet is productive in all phonologically relevant derived contexts seems to be elusive (Chong, 2019). In one well-known example of DEE - Turkish velar deletion (Inkelas, 2000, 2011; Inkelas & Orgun, 1995; Inkelas, Orgun & Zoll, 1997; Lewis, 1967; Sezer, 1981; Zimmer & Abbott, 1978) - the alternation is highly morphologically conditioned (Inkelas, 2011; Sezer, 1981), applying productively only with polysyllabic nouns (Zimmer & Abbott, 1978), and even here is not a categorical pattern (Becker et al., 2011). A careful inspection of the lexicon shows that there is no phonotactic constraint against intervocalic velars in the lexicon (Chong, 2019). In fact many these derived-environment effect patterns seem to fall into this category (Anttila, 2006; Inkelas, 2011)

This is in contrast to Korean palatalization (Cho, 2001; Iverson & Wheeler, 1988; Kiparsky, 1993; Oh, 1995) discussed above. Chong (2019) showed that despite [ti] and [tʰi] sequences being attested within the lexicon, stems with these sequences are exceedingly rare and a computational learner is able to induce a phonotactic constraint against such sequences. At the same time, the alternation is productive across a suffix boundary and not morphologically restricted (Jun & Lee, 2007) in the same way as Turkish velar deletion. Taken together, these cases suggest that alternations in putative DEEs are not a unified phenomenon, and are possibly only
productively learnt (i.e. extended to all phonologically-relevant morphophonological contexts) if they are supported by phonotactics. Taken together, the picture that emerges from these studies and the results of the current study suggest that alternation learning in these derived-environment effect patterns is more difficult compared to patterns in which alternations and phonotactics match providing suggestive evidence that these patterns are dispreferred. This is predicted under a model in which phonotactic learning facilitates alternation learning.

What about the learning of Turkish Vowel Harmony, the pattern on which the Disharmonic language is based? Recall that this is a DEE pattern since even though vowels in suffixes harmonize to the (final) vowels in roots, there are nonetheless roots that are disharmonic. Is the vowel harmony alternation supported by root phonotactics? To ascertain this, vowel-vowel co-occurrences in 16,757 roots in the Turkish Electronic Living Lexicon (Inkelas et al., 2001) was calculated. Table 2 shows the number of co-occurrences between front ([-back]) and back ([+back]) vowels. Observed/Expected (O/E) values were calculated for each cell. ‘Observed’ (O) values are the total number of times each VV combination is found in the corpus while ‘Expected’ (E) values are how often each VV combination is expected if each V1 and V2 sequence co-occurred based on chance. Expected values were then calculated by taking the product of the relevant marginal totals (row and column) and dividing it by the grand total (for other examples of the use of this measure see Coetzee, 2008; Frisch & Zawaydeh, 2001). O/E values are finally calculated by dividing the Observed by the Expected value. An O/E value of 1 indicates that a particular sequence occurs at the expected rate of occurrence, a value above 1 indicates over-representation of that particular VV and a value under 1 indicates under-representation. We note that Disharmonic sequences (cells which are not shaded) are under-represented with O/E values under 1, while harmonic VV sequences are overrepresented in the corpus. The vowel harmony alternation in Turkish is thus supported by a harmonic preference in VV sequences in the lexicon despite there being a sizable number of disharmonic sequences. Consistent with this, van Kampen et al. (2008) and Hohenberger et al. (2016) showed that Turkish infants in the first year of life show early preferences for harmonic words over disharmonic ones. Furthermore, children as young as 2;0 show successful acquisition of vowel harmony in their productions (Aksu-Koç & Slobin, 1985), and Turkish adults show awareness of this restriction (Zimmer, 1969).
More generally, if we consider the wider typology of mismatches in phonotactics and alternations (Section 1.1), our study, together with the learning models described in the previous section, predict an asymmetry in the learnability of phonological patterns in which morpheme-internal phonotactics and alternations mismatch. The laryngeal cooccurrence restrictions in Aymara investigated by Gallagher et al. (2019) represent an example where application of a particular process is blocked by a morphological boundary (see Section 1.1). As described in the previous section, Gallagher et al.’s (2019) computational learner successfully learns these patterns by revisiting phonotactic learning once morphological structure is learnt and also being conservative in the positing the level of generalization (within morphemes). If learning is initially biased against alternations, and there is no evidence for the alternation at all, then this type of pattern seems straightforward to arrive at, even if this might go against a learnt phonotactic constraint.

The reverse (i.e. DEEs like the Disharmonic language) however is predicted to be more difficult. The learner must overcome the initial anti-alternation bias as well as possibly posit a structure-sensitive constraint (more complex constraint; Martin 2011), without phonotactic support. Future work therefore would test this prediction more closely and would also examine how much evidence is needed to be able to learn these kinds of patterns. Examining these in more detail, especially from the learning perspective, will be a fruitful avenue for seeing how phonotactic and alternations, as well as morphology interact in learning.

5.3. Open issues. Before concluding, I discuss two further areas in which the current study offers tentative, but inconclusive, results: (1) the influence of frequency on learning and (2) the uni-
directionality of influence between phonotactics and alternation learning. I briefly discuss each of these in turn.

The results of Experiment 1 relate to the broader question of how exactly gradient lexical statistics (and exceptions in the lexicon) impacts simultaneously learning of phonotactics and phonological alternations. This is a subject of ongoing research (e.g. Moore-Cantwell & Pater, 2016; Zymet, 2018). Given that harmonic sequences represented the majority of vowel sequences in the Semi-harmonic language in Experiment 1, the fact that participants did not reliably endorse harmonic items over disharmonic items is somewhat surprising. Previous studies, for example, have shown that adult speakers show gradient knowledge of phonotactic patterns consistent with lexical statistics in the input (Coetzee & Pater, 2008; Frisch & Zawaydeh, 2001). What this suggests is that the relationship between frequency and productivity is likely not linear (Coetzee, 2009; Moore-Cantwell & Pater, 2016). Future work will examine the impact of frequency on the joint learning of phonotactics and alternations, and how much evidence is needed in order to learn these patterns with exceptions.

Our study also sheds some light on the directionality of influence between phonotactics and alternation learning. In our experiment it seems that the nature of phonotactic generalizations influences the ability to learn alternations, providing evidence for the flow of information from phonotactics to alternations (c.f. Pater & Tessier, 2005; Pizzo, 2013). What the results of the experiments suggest is that the relation might be uni-directional, and that alternation knowledge may not affect phonotactic knowledge. While the experiment was not set up to test the influence of alternations on phonotactics (as Pizzo 2015 did using a poverty-of-stimulus design), our results provide some suggestive evidence consistent with this uni-directionality. The Disharmonic language learners were exposed to an exceptionless alternation pattern. In principle, they could have matched their learning of both the disharmonic stem phonotactic pattern, and the exceptionless alternation pattern. But, they failed to learn the alternation pattern. If alternation learning influences phonotactic learning, one might have expected the alternation pattern to boost the preference for harmonic stems. Note additionally that if Disharmonic learners were just paying attention to bigram vowel sequences, because vowel sequences across a morpheme boundary always harmonized, there were actually more harmonic vowel sequences that disharmonic sequences overall even in the Disharmonic language, due to the local harmonic sequences across the morpheme boundary with the suffix in Experiment 1. Learners, therefore, failed to learn the
alternation despite the global statistical preponderance of harmonic sequences, and the exceptionless alternation pattern involving harmony. Of course, that phonotactics should affect alternations is intuitive given that phonotactics seems to be acquired before alternations in infancy (Friederici & Wessels 1993; Jusczyk et al., 1994; Saffran & Thiessen, 2003; White et al., 2008; White & Sundara, 2014), and that this can be done without access to morphology. The reverse relationship with alternation learning affecting phonotactic knowledge seems less motivated (Hayes 2004). Overall then our results provide some tentative support of a uni-directionality of flow from phonotactics to alternation, and I leave this open to future investigation.

5.4. CONCLUSION. All in all, in this article I have provided evidence for the basic claim that phonotactics has an impact on alternation learning. At the same time, I have also shown that learners are conservative in extending static phonotactic generalizations to novel alternations, in line with existing models of phonological learning. Together, our results suggest that both types of phonological knowledge cannot be entirely independent of each other in a model of phonological learning, although the specific details of the mechanism which links them is still an open question. I have further shown that patterns like derived-environment effects, which show a mismatch between phonotactics and alternations, are more difficult to learn, predicting that these patterns should be dispreferred cross-linguistically. While the results of the current study indicate that phonotactics and alternations interact in learning, the exact trajectory of learning across both types of phonological knowledge especially in infancy remains unclear. Given the timeline of phonological development in infancy, with phonotactic knowledge emerging before alternation knowledge, it would be illuminating to examine when different kinds of alternation knowledge emerge. The prediction here is that phonotactically supported alternations should be learnt first.
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### 7. APPENDIX: STIMULI LISTS

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**Table 3.** Experiment 1 training items: Harmonic language. Shaded cells indicate harmonic forms.
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**Table 4.** Experiment 1 training items: Semi-Harmonic language. Shaded cells indicate harmonic forms.
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**TABLE 5.** Experiment 1 training items: Disharmonic language. Shaded cells indicate harmonic forms.
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**Table 6.** Experiment 3 training items: Harmonic language. Shaded cells indicate harmonic forms.
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**TABLE 7.** Experiment 3 training items: Disharmonic language. Shaded cells indicate harmonic forms.
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<td>mitu</td>
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*Table 8. Blick test items*
No.  Singular  [-mi]  [-mu]
1  mete  metemi  metemu
2  beke  bekemi  bekemu
3  neki  nekimi  nekimu
4  mipe  pipemi  pipemu
5  giti  gitimi  gitimu
6  pidi  pidimi  pidimu
7  kobo  kobomi  kobomu
8  konu  konumi  konumu
9  domu  domumi  domumu
10  tugo  tugomi  tugumu
11  tubu  tubumi  tubumu
12  gunu  gunumi  gunumu
13  bepi  bepimi  bepimu
14  dime  dimemi  dimemu
15  pugo  pugomi  pugumu
16  nodo  nodomi  nodomu

Table 9. Wug test items. Shaded cells indicate harmonic plural forms.

i NOM = nominative; GEN = genitive; SG = singular; PL = plural.
ii The vowels in upper case indicate vowels in the suffix that harmonize to the vowel in the root.
iii The surface form of the vowel ‘I’ in the suffix is derived by both rounding and backness harmony.
iv A model with both by-item and by-participant random intercepts resulted in a singular fit error indicating overfitting.